



HKIB ECF SFC  
CPD CPD CPT  
**3**  
**HOURS**

# Regulatory Compliance Considerations Over Fintech Innovation in Banking

30 Jun 2022 (Thu) | 7:00pm - 10:00pm



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## Objective

Banking services in modern society have their business models and service deliveries revolutionized in the wake of technological development. Consumers and corporate clients have asked for more friendly banking channels and flexible product offers. Adoption of the latest financial technologies helps financial institutions better meet the evolving client demands as well as the developing regulatory requirements.



## Target Audience

01

### Compliance, Risk Management and IT Practitioners

Who are interested to learn the evolution and application of FinTech in banking industry and are interested in capturing future opportunities in the growing banking FinTech world.

02

### Banking Executives

Who are management level and interested in exploring opportunities to deploy RegTech initiatives in their organisations to improve their compliance capabilities

SPEAKER

## Key Description

The speaker has over 20 years of professional experience in IT and investments earned from major global bank and asset management firms.

He took various executive management roles in asset management, and he focused on private equity investments, cyber security, and regulatory compliance. Formerly he was a regional manager in finance and IT divisions at a note-issuing bank in Hong Kong. He is a Certified Banker and a holder of CISSP. He received a Master of Science degree in Finance in the Chinese University of Hong Kong.

## Programme Outline

Overview of HKMA Initiatives in FinTech

- FinTech Supervisory Sandbox (FSS)
- Cyber Fortification Initiative (CFI)

Overview of the Virtual Banking Licensing Regime in Hong Kong

Overview of Selected Financial Technologies in Banking

- Open Banking API
- RegTech
- eKYC and Remote Account Opening
- Big Data and Artificial Intelligence (AI)
- Distributed Ledger Technology (DLT) and Blockchain
- Central Bank Digital Currency (CBDC)

Cyber Risk and Cyber Credibility

- Common cyber threats
- Impersonation Risk

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### Programme Delivery

- Virtual Classroom (Zoom)
- Cantonese

### Early Bird DEADLINE

16 Jun 2022 (Thu)

### Enquiries

(852) 2153 7800  
programme@hkib.org

### Application DEADLINE

24 Jun 2022 (Fri)

### PRICE LIST

Early Bird  
Regular Price

Individual Member  
HKD 900

HKD 990

Staff of Corporate Member  
HKD 1,080

HKD 1,190

Non-Member  
HKD 1,200

HKD 1,320

Programme Code: HDCPDFITP220601